

#6 0390  
6-23-03 22-13-03

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
RL-1970

In Re Application Of: **Stechman**

Serial No.  
09/809,548

Filing Date  
March 15, 2001

Examiner

Group Art Unit

Title: **LIGHTWEIGHT ARMOR WITH REPEAT HIT AND HIGH ENERGY CAPABILITIES**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
RL-1970

In Re Application: **S. J. Viccaro**

Serial No.

09/809,548

Filing Date

March 15, 2001

Examiner

Group Art Unit

**LIGHTWEIGHT ARMOR WITH REPEAT-HIT AND HIGH ENERGY CAPABILITIES**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **01-0840** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **2/24/03** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

Susan M. Lloyd

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account**

*Patrick J. Viccaro*  
Signature

Dated: **February 24, 2003**

**Patrick J. Viccaro, Registration No. 27,842**  
**Allegheny Technologies Incorporated**  
**1000 Six PPG Place**  
**Pittsburgh, PA 15222**

CC:



PATENT  
Attorney Docket No. RL-1970

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

In re application of S. Reichman :

LIGHTWEIGHT ARMOR WITH  
REPEAT HIT AND HIGH ENERGY  
CAPABILITIES

Group Art Unit No.

Serial No.: 09/809,548

Filed: March 15, 2001

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pittsburgh, Pennsylvania 15222  
February 24, 2003

Commissioner for Patents  
Washington, DC 20231

Sir:

Applicants, in accordance with their duty of disclosure pursuant to 37 C.F.R.  
§ 1.56, hereby advise the United States Patent and Trademark Office of the references  
listed on the accompanying form PTO-1449 *Information Disclosure Citation* (the "1449  
Form"). A copy of each of the references cited therein is herewith enclosed.

---

"Express Mail" mailing label number EL901501776US

Date of Deposit 2/24/03

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post  
Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner  
for Patents, Washington, DC 20231

  
Susan M. Lloyd

Each of the references listed on the 1449 Form were first cited in an International Search Report mailed February 20, 2003, by the International Searching Authority in connection with a PCT application claiming priority from the above-captioned application.

Applicants believe that the instant IDS fully complies with the disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98, inasmuch as under rule 37 C.F.R. § 1.98 there is no requirement for Applicants to explain the relevance of the English language references cited in the IDS.

The filing of this Information Disclosure Statement shall not be construed to mean that those references presented herewith are prior art to the claims of the present application, and Applicants make no such admission. Further, Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Respectfully submitted,



Patrick J. Viccero  
Registration No. 27,842

Attorney for Applicants

Allegheny Technologies  
1000 Six PPG Place  
Pittsburgh, PA 15222-5429  
(412) 394-2839 (phone)  
(412) 394-3010 (fax)